SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Oportun Financial Corp</u> [OPRT]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
COBLENTZ JONATHAN AARON				3. Date of Earliest Transaction (Month/Day/Year)						_	Director	10% Owner		
(Last)	(First)	(Middle)		05/20/2			nu , Da	y/rear)		X	Officer (give title below)	Other below)	(specify )	
OPORTUN FINANCIAL CORPORATION											CFO & Chief	Admin Office	r	
2 CIRCLE STAR WAY				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street)										X	Form filed by One F Form filed by More			
SAN CARLOS	CA	94070												
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
Date			2. Transact Date (Month/Day		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Dispo Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock			05/20/2	.020		S		1,903(1)	D	<b>\$7.4289</b> <sup>(2)</sup>	37,325	D		
Common Stock											38,172	Ι	See	

## footnote.(3) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and 1. Title of 3. Transaction 5. Number of 9. Number of 11. Nature 3A. Deemed 7. Title and Amount of 8. Price of 10 curities Underlying Derivative Date Execution Date Transaction Derivative Expiration Date Derivative derivative of Indirect Conversion Ownership . (Month/Day/Year) (Month/Dav/Year **Derivative Security** Security (Instr. 3) or Exercise if anv Code (Instr. Securities Security Securities Form: Beneficial Price of (Month/Day/Year) Acquired (A) (Instr. 3 and 4) (Instr. 5) Beneficially Direct (D) Ownership 8) Derivative or Disposed of Owned or Indirect (Instr. 4) (D) (Instr. 3, 4 Following (I) (Instr. 4) Security and 5) Reported Transaction(s) Amount (Instr. 4) Number Expiration Date Code v (A) (D) Exercisable . Date Title of Shares

Explanation of Responses:

1. As reported on the March 4, 2020 Form 4, shares from the March 3, 2020 release were delivered to the Reporting Person on May 19, 2020. This amount has been adjusted for the sale of shares to cover applicable taxes.

2. Weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.39 to \$7.465 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or to the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

3. These shares are held in the Jonathan A. Coblentz Revocable Trust U/A/D 3/31/2017 for which the Reporting Person is the Trustee.

## Remarks:

/s/ Kathleen Layton (Attorney-in-Fact)

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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