FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL								
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Estimated average burden								
hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  PASCARELLA CARL						2. Issuer Name and Ticker or Trading Symbol     Oportun Financial Corp [ OPRT ]      3. Date of Earliest Transaction (Month/Day/Year)									all applicabl Director	e)	erson(s) to Issuer 10% Ow		·
(Last)	(First)	,	liddle)		06/08/2020									Officer (gi below)		Other (s below)	pecify		
C/O OPORTUN FINANCIAL CORPORATION 2 CIRCLE STAR WAY					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person					
(Street)	S CA	94	4070												Form filed	d by More	than O	ne Reportin	g Person
(City)	(State)	(Z	ip)																
ı		Ta	able I - Nor	า-Deri	vativ	e Se	curiti	es Acq	uired, I	Disp	osed o	f, or E	Benefic	cially Ow	ned				
Date					e nth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and				(111541.4)
Common Stock 06/0				5/08/2020				M		892	(1)	A	\$ <mark>0</mark>	130,	019		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Y	te, Tr	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisab Expiration Date (Month/Day/Year)		Securities Unde		erlying	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti	e s illy	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	ode	v	(A) (I	(D)	Date Exercisab		Expiration Date	Title	Ar or Nt of			(Instr. 4)			
Restricted Stock Units	(2)	06/08/2020			M			892	(2)		(2)		nmon tock	892	\$0	0		D	

## Explanation of Responses:

- 1. Each restricted stock unit represents the right to receive at settlement, one share of common stock.
- 2. The Reporting Person was granted restricted stock units which represent a contingent right to receive one share of Common Stock for each restricted stock unit. The restricted stock units will vest quarterly over one year from June 6, 2019, provided that the Reporting Person remains in continuous service to the Issuer on each vesting date (the "Service and Vesting Requirement").

## Remarks:

/s/ Kathleen Layton (Attorney-in-

Fact)

\*\* Signature of Reporting Person

Date

06/08/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.