SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
(City)	(State)	(Zip)																		
SAN CARLOS CA 94070																				
(Street)				05/10/2	2022										ng Person					
2 CIRCLE STAR WAY					4. If Amendment, Date of Original Filed (Month/Day/Year) 03/10/2022						6. Individual or Joint/Group Filing (Check Applicable Line)									
OPORTUN FINANCIAL CORPORATION											CFO & Chief Admin Officer									
(Last)	(First)	(Middle)		3. Date 0 03/10/2		est Transact	tion (Month/Day	Year)		x	X Officer (give title Other below) CFO & Chief Admin Offic 6. Individual or Joint/Group Filing (Check App X Form filed by One Reporting Person Form filed by More than One Report		specify							
1. Name and Address				1			or Trading Sym <u>Corp</u> [OPR				eck all applicable)									

1. Title of Security (Instr. 3)	D	Date Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(instr. 4)
Common Stock		03/10/2022	F		24,506 ⁽¹⁾	D	\$13.26	104,356	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

10	. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		5. Num Derivat Securit Acquire or Disp (D) (Ins and 5)	ive ies ed (A) osed of	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and A Securities U Derivative Se (Instr. 3 and	nderlying ecurity	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	(I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Amendment is being filed to correct an error to the number of shares withheld to cover taxes pursuant to the "net exercise".

Remarks:

/s/ Kathleen Layton (Attorney-in-Fact) 03/21/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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