FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

	OMB Number:	3235-0287				
	Estimated average burden					
-	hours per response:	0.5				

10% Owner Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by More than One Reporting Person

X Form filed by One Reporting Person

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a

2 CIRCLE STAR WAY

CA

(State)

(Street)

(City)

SAN CARLOS

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

contract, instruction purchase or sissuer that is affirmative de	vas made pursuant to a ruction or written plan for sale of equity securities or intended to satisfy the efense conditions of Rule see Instruction 10.	of the						
1. Name and Ad	ddress of Reporting Pe	erson *	2. Issuer Name and Ticker or Trading Symbol Oportun Financial Corp [ OPRT ]		Relationship of Reporting Person(s) to (Check all applicable)  Director			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/25/2023	X	Officer (give title below)	10% O Other ( below)		
OPORTUN !	FINANCIAL COR	PORATION			Global Control	ler and PAO		

10/25/2023

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

4. If Amendment, Date of Original Filed (Month/Day/Year)

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	 Oate, Transaction Code (Instr.		4. Securities Ac Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership
		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/25/2023(1)	A		16,000(2)	A	(3)	189,020	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/Day/Year)	'		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	

# **Explanation of Responses:**

- 1. The original Form 4, filed on October 25, 2023, is being amended by this Form 4 amendment solely to correct an administrative error, which misreported the Date of Earliest Transaction and Transaction Date as October 20, 2023, when in fact the actual date for each item was October 25, 2023.
- 2. The restricted stock units will vest over two years from March 10, 2023 with fifty percent vesting on each one year anniversary, provided that the Reporting Person remains in continuous service to the Issuer on each vesting
- 3. Each restricted stock unit represents the right to receive at settlement, one share of common stock.

### Remarks:

/s/Kathleen Layton (Attorney-in-10/26/2023

Fact)

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

94070

(Zip)

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.